

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
Please do not report the images to the  
Image Problem Mailbox.



FILE COPY  
RECEIPT

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Osamu KODAMA et al.

Serial No. : 09/531,679

File Date : March 20, 2000

Title : BEARING PARTS FOR CYLINDRICAL ROLLER BEARING AND  
NEEDLE ROLLER BEARING

Art Unit : 3682

Examiner:

Docket No. : M1866-24

**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Washington D.C. 20231 on:

Date : *Aug 15, 2000*  
By : Margaret L. Goldstein  
Signature : *M. Goldstein*

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Application Processing Division  
Customer Correction Branch

RECEIVED  
SEP 28 2000  
TC 8700 MAIL ROOM

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Subsequent to our July 24, 2000 submission of a Response to the Notice to File Missing Parts of Application dated May 24, 2000, the Patent Office issued a Filing Receipt (copy attached).

We indicate that a Priority Date is to be claimed (copy of declaration/power of attorney attached), yet the PTO did not indicate the Priority Date or Application No. Please correct the error and kindly issue a Corrected Filing Receipt.

We believe no fee is payable for this correction. However, the Commissioner is authorized to charge any fee to our Deposit Account No. 13-4550.

Respectfully Submitted,



Thomas R. Morrison  
Reg. No. 27,361  
Attorney for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
Mount Vernon, New York 10550  
(914) 667-6755

**COPY****FILING RECEIPT**

\*OC000000005300378\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	-----------------	----------	------------	------------

09/531,679

03/20/2000

013662

820

M1866-24

3

3

2

Morrison Law Firm  
145 North Fifth Avenue  
Mt. Vernon, NY 10550

AUG - 9 2000

MORRISON LAW FIRM Date Mailed: 08/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**Osamu Kodama, Komatsu-shi, JAPAN;  
Kaoru Hasegawa, Komatsu-shi, JAPAN;  
Nobuaki Kawasaki, Komatsu-shi, JAPAN;**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 05/24/2000

\* Information is Missing

S/B Japanese Appl. #  
11-074392  
March 18, 1999**Title**

Bearing parts for cylindrical roller bearing and needle roller bearing

**Preliminary Class**

384

\* See attached Copy of Decl/POA requesting Priority Date and  
Date: 08/07/2000

Data entry by : GUNTER RILEY, JOYCE

Team : OIPE

ISSUE a new  
Filing Receipt

COPY



PTO/BB/106 (8-96)

Approved for use through 8/30/98. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Declaration and Power of Attorney For Patent Application

M1866-24

特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

BEARING PARTS FOR CYLINDRICAL  
ROLLER BEARING AND NEEDLE ROLLER  
BEARING

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached herein unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。☒ was filed on March 20, 2000  
as United States Application Number or  
PCT International Application Number  
09/531,679 and was amended on \_\_\_\_\_  
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understood the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条36項に定義されるとおり、特許実等の右欄について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Page 1 of 3

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

BEST AVAILABLE COPY

PTO/SB/108 (8-99)

Approved for use through 9/30/98. OMB 0851-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration  
(日本語宣言書)

M1866-24

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基き下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基き国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

## Prior Foreign Application(s)

外国での先行出願

11-074392

(Number)  
(番号)

JAPAN

(Country)  
(国名)(Number)  
(番号)(Country)  
(国名)

私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)  
(出願番号)(Filing Date)  
(出願日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基き権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)  
(出願番号)(Filing Date)  
(出願日)(Application No.)  
(出願番号)(Filing Date)  
(出願日)

私は、私自身の知識に基き本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基き表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基き、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

18/03/1999

(Day/Month/Year Filed)  
(出願年月日)(Day/Month/Year Filed)  
(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(a) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)(Filing Date)  
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application, in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PTO/S&amp;M 06 (8-96)

Approved for use through 9/30/98. OMB 0951-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration  
(日本語宣言書)

M1866-24

委任状: 私は下記の発明者として、本出願に関する一切の  
手続を米特許商標局に対して遂行する弁護士または代理人  
として、下記の者を指名いたします。(弁護士、または代理  
人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint  
the following attorney(s) and/or agent(s) to prosecute this  
application and transact all business in the Patent and Trademark  
Office connected therewith (list name and registration number)

Thomas R. Morrison, Esq. (Reg. No. 27,361), George J. Brandt, Jr. (Reg. No. 22,021), Paul J. Lerner, Esq.  
(Reg. No. 27,654), Brendan J. Kennedy, Esq. (Reg. No. 41,890), Joy I. Farber, Esq. (Reg. No. 44,103), Lyman  
H. Smith (Reg. No. 44,342)

書類送付先

Send Correspondence to:

Thomas R. Morrison, Esq.  
MORRISON LAW FIRM  
145 North Fifth Avenue  
Mount Vernon, NY 10550

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

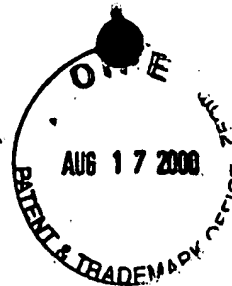
Thomas R. Morrison, Esq.  
(914) 887-6755

唯一または第一発明者名	Full name of sole or first inventor	
	Osamu KODAMA	
発明者の署名	日付	Inventor's signature
		O. KODAMA
住所	Residence	
	Komatsu-shi, Japan	
国籍	Citizenship	
	Japanese	
郵便番号	Post Office Address	
	c/o Awazu Plant of KOMATSU LTD., Tsu 23, Futsu-machi, Komatsu-shi, Ishikawa 923-0392 Japan	
第二共同発明者	Full name of second joint inventor, if any	
	Kaoru HASEGAWA	
第二共同発明者	日付	Second inventor's signature
		K. Hasegawa
住所	Residence	
	Komatsu-shi, Japan	
国籍	Citizenship	
	Japanese	
郵便番号	Post Office Address	
	c/o Awazu Plant of KOMATSU LTD., Tsu 23, Futsu-machi, Komatsu-shi, Ishikawa 923-0392 Japan	

(第三以降の共同発明者についても同様に記載し、署名をす  
ること)

(Supply similar information and signature for third and subsequent  
joint inventors.)

BEST AVAILABLE COPY



Under the Patent and Trademark Act of 1980, no person is required to respond to a notification of information unless it displays a valid copyright notice.  
 Approved for use through BACUS. ONLY BACUS-0000  
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

追加説明書に対する署名の  
ページは必要に応じて増設する

### Japanese Language Declaration (日本語宣誓書)

Signatures page for additional inventors  
and its corresponding declaration

M1866-24

第一発明者 発明者名

Full name of 3rd Inventor  
Nobuaki KAWASAKI

発明者の署名

日付

Inventor's signature

N. Kawasaki

7/10/2000

住所

Residence  
Komatsu-shi, Japan

国籍

Citizenship  
Japanese

勤務先

Post Office Address  
c/o Awaizu Plant of KOMATSU LTD.,  
TSU 23, FURUKAWACHI, Komatsu-shi,  
Ishikawa 923-0362 Japan

第二発明者 発明者名

Full name of 4th Inventor

発明者の署名

日付

Inventor's signature

Date

住所

Residence

国籍

Citizenship

勤務先

Post Office Address

BEST AVAILABLE COPY